Prognosis and Long-Term Overall Survival in Hypertensive Patients Undergoing Chronic Hemodialysis: A Multicenter Parametric Mixture Split-Population Analysis

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Abstract

Background: Hemodialysis (HD) patients face long-term complications which require ongoing dialysis and follow-up. The management of hypertension among HD populations has often been neglected. This study aimed at identifying the determinants of death in hypertensive HD (HTN-HD) patients.

Methods: In a multicenter retrospective cohort study (conducted from 2005 to 2018 in thirty-four HD centers affiliated with Shiraz University of Medical Sciences), the data of 725 HTN-HD patients who had at least 3 months of regular dialysis and follow-up were analyzed. Accelerated failure time mixture split-population (AFTMSP) regression was utilized to identify the factors with significant effects on long- and short-term overall survival (OS) separately.

Results: Among the different AFTMSP models, the extended generalized gamma (EGG) model outperformed the others. Sex (male: event time ratio [ETR] = 1.30), initial vascular access type (arteriovenous fistula: ETR = 1.50), and the type of membrane flux used for HD (high-flux: ETR = 1.27) had important impacts on short-term OS. Moreover, age (OR = 1.06), dialysis adequacy (Kt/V, ≥ 1.2: OR = 2.30), initial vascular access type (central venous catheter: OR = 2.08), serum sodium (OR = 0.90) concentration, and potassium (OR = 0.66) concentration had significant effects on long-term OS.

Conclusion: The split-population analyses were able to demonstrate that the predictors of long-term OS were different from those of short-term OS. Although the superiority of the parametric EGG model was proved in this study, further research with different databases is suggested. Moreover, these findings can be considered by health policy decision-makers to create a new guideline to enhance the long-term OS of HTN-HD patients.

Keywords: Central venous catheter, Hemodialysis, Hypertension, Survival


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Introduction

Hemodialysis (HD) is a known option for renal replacement therapy. It prolongs the life of patients with end-stage renal disease, improves their quality of life, and reduces death from uremia. Among various comorbid illnesses associated with this problem, hypertension is mentioned as the main one. According to the reports, 18-41% of patients with hypertension may need renal replacement therapy in the acute phase and the prevalence of hypertension varies from 70% to 90% among HD patients. Hypertension is the initial cause of end-stage renal disease in about 30% of Iranian patients undergoing HD. The overall survival (OS) rate of HD patients is different in developed and developing countries. In the Iranian population, the mortality rate of HD patients is about 30-50% and their five-year OS rate is almost 18-23%. Several studies have been conducted to investigate the associated determinants of the short-term OS of patients under HD. Epidemiological studies have demonstrated that several covariates affect HD patients' OS including sex, body mass index (BMI), comorbid illnesses, and blood cell indices (including mean corpuscular hemoglobin concentration [MCHC], hemoglobin, red blood cell [RBC], white blood cell [WBC], albumin, cholesterol, high-density lipoprotein [HDL], and uric acid). In some cases, there is a direct association between a risk factor and mortality, while some risk factors have an opposite effect on the outcome of interest. HD patients may experience death before kidney transplantation. Therefore, it is necessary to determine the predictors that contribute to the patients' OS. As an appropriate statistical procedure, the methodology of...
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Materials and Methods

Sampling Population

The data of this multicenter cohort study were retrospectively extracted from the electronic database and the paper documents of Special Diseases Administration, affiliated to Shiraz University of Medical Sciences (SUMS), in southern Iran. These data included the information of thirty-four HD centers and since there was no electronic information for the patients before 2012, paper medical records were used as well. Hypertensive subjects above 18 years of age who survived the initial three months on maintenance HD were included. Moreover, patients were excluded in the case of incomplete data on important determinant factors, death in the first three months, switching from HD to peritoneal dialysis, kidney transplantation, unknown current status, and loss of regular follow-up for any reason. Therefore, of 2253 patients undergoing chronic HD, a total of 725 hypertensive patients were eligible for analysis during the period from 2005 to 2018. In addition, the HD patients in the database who survived until their last follow-up were censored.

Clinical and Demographical Features

The demographic characteristics of the subjects including sex, age, and BMI were gathered. The laboratory features and the indices related to the HD sessions were repeatedly and regularly collected from the fourth month of starting HD onwards. The laboratory factors including serum creatinine, blood urea nitrogen (BUN), fasting blood sugar (FBS), total WBC count, hematocrit, serum hemoglobin concentration, mean cell volume (MCV), mean cell hemoglobin concentration (MCH), MCHC, albumin, low-density lipoprotein (LDL), HDL, uric acid, triglyceride, cholesterol, iron, total iron-binding capacity (TIBC), parathyroid hormone (PTH), ferritin, alkaline phosphatase (ALKPH), and the serum concentrations of potassium, phosphate, calcium, and sodium were extracted from the database. The patient samples were not centralized in a single laboratory and were evaluated in the laboratories of the HD centers.

Additionally, the factors associated with the HD sessions such as ultrafiltration volume, systolic and diastolic blood pressures, average time of dialysis per session, pre- and post-dialysis weights, adequacy of HD, blood flow rate, type of membrane flux (low-flux or high-flux), and initial vascular access type (arteriovenous fistula [AVF] or central venous catheter [CVC]) were also recorded. The high-flux HD membrane is defined as a β₂-microglobulin clearance of >20 mL/min and has larger pore size than the low-flux HD membrane. In addition, the adequacy of HD was measured by single-pool Kt/V urea. Since the Kidney Disease Outcomes Quality Initiative (KDOQI) group adopted the Kt/V urea of 1.2 as the standard for adequacy of dialysis, in the current study, Kt/V urea of 1.2 was considered as the optimal cut-off point for categorizing this factor.

Statistical Analyses

In medical research, we deal with time-to-event data when the outcome variable of interest is the time until an event occurs. An important characteristic of these data is censorship which occurs when the data about the survival outcome variable are incomplete. In this study, the survival time was censored if the desired event (i.e. death) did not happen until the last follow-up time. Split-population (SP) models can handle data with a high
The general formula for the MSP models is given in the Appendix. These models can be used parametrically or semi-parametrically. In parametric MSP models, the distribution of the outcome variable is determined in terms of the unknown parameters. Therefore, the mathematical forms of the hazard and survival functions can be specified. Before using the SP models, two main assumptions should be checked: ‘testing the presence of long-term survivors’ and ‘testing the sufficient follow-up time’. To check these two assumptions, the NP statistical tests suggested by Maller and Zhou can be utilized, especially when there is shortage of clinical experience and biological evidence.

The variables were chosen based on both clinical and statistical significance found in univariate MSP analyses (if the P-value of the covariate was < 0.2 in the univariate MSP model, the covariate became a candidate for entering the multiple MSP model).

In order to distinguish the best functional form of the survival time, eight parametric accelerated failure time MSP (AFTMSP) models were fitted including exponential, Rayleigh, Weibull, log-logistic, generalized log-logistic, gamma, extended generalized gamma (EGG), and generalized F (GF) distributions. In the next step, the model with the lowest Akaike’s information criterion (AIC) was chosen as the best parametric model. In the parametric AFTMSP framework, the probability of having long-term survival can be modeled using a logistic regression model (see online Supplementary file 1). Moreover, an AFT model from the generalized F distribution family can also be utilized for modeling the short-term survivors. The effects of the covariates on time were modeled by the scale parameter of the distribution. Using the AFTMSP, it would be possible to calculate the odds ratio (OR) estimates for the long-term survivors and the event time ratio (ETR) estimates for the short-term survivors in terms of the covariates associated with the desired event. The statistical analyses were done in R software (version: 3.6.2) using the ‘gftcure’ package.

**Results**

In this multicenter retrospective cohort study, 959 hypertensive patients undergoing HD were followed and investigated. According to the exclusion criteria, patients who had incomplete information (n = 160), those who underwent kidney transplantation (n = 45), recovered from HD (n = 16), switched to peritoneal dialysis (n = 1), or were transferred to other HD centers (n = 12) were omitted. Finally, 725 eligible HTN-HD patients (females: n = 296, 40.8%) entered the study and were analyzed. The details of the demographic data including laboratory values and dialysis factors are reported in Table 1. The findings of the paired Student’s t test indicated a significant decrease in mean BUN (mean ± SD before HD: 56.0 ± 15.7 mg/dL; mean ± SD after HD: 18.9 ± 8.0 mg/dL) and serum creatinine (mean ± SD before HD: 6.8 ± 2.5 mg/dL; mean ± SD after HD: 2.9 ± 1.1 mg/dL) (P < 0.001).

The results of the NP Log-rank test (Figure 2) showed that being male (P = 0.047), having AVF as vascular access (P < 0.0001), and using high-flux HD membranes (P = 0.046) were associated with higher OS rates. In addition, patients with Kt/V_dia of > 1.2 had marginally higher levels of OS compared to the others (P = 0.098).

From Figure 1, it can also be observed that the estimated survival function leveled off at a non-zero probability (around 0.55) and that no further deaths happened after five years of follow-up. The percentage of censored observations (~ 76% or three-fourth of all observations) and the result of Maller and Zhou’s test confirmed the existence of long-term survivors in the study population (C_0.0 = 0.94 > P_0 = 0.45). Furthermore, the NP test statistics suggested by Maller and Zhou confirmed the assumption of the sufficient follow-up time as well (C_0.01 = 0.0014 < q_0 = 0.24). As a result, using the parametric AFTMSP model to assess the effects of the covariates on the survival of both short- and long-term groups separately was approved.

**The Short- and Long-term OS of the HTN-HD Patients**

After fitting eight different parametric AFTMSP models, the EGG distribution had the best performance with the lowest AIC = 949.2 (Table 2). The results indicated that sex (male, ETR [95% CI]: 1.30 [1.01–1.64], P = 0.045) and initial vascular access type (AVF, ETR [95% CI]: 1.50 [1.13–1.99], P = 0.005) had significant impacts on the short-term survival time. Moreover, the type of membrane flux used for HD was marginally associated with the short-term survivors (high flux, ETR [95% CI]: 1.27 [0.99–1.65], P = 0.064). This means that the median short-term OS time of males was 1.3 times higher than that of females. In addition, having AVF as vascular access and using high-flux HD membranes were associated with a higher short-term OS time compared to having CVC as vascular access and low-flux membrane dialyzers (Table 3).

Investigating the long-term OS of patients demonstrated that age (OR [95% CI]: 1.06 [1.04–1.08], P < 0.001), initial vascular access type (CVC, OR [95% CI]: 2.08 [1.09–3.98], P = 0.027), and serum sodium concentration (OR
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Table 1. Descriptive Characteristics and Laboratory Values of HD Hypertensive Patients (N = 725)

<table>
<thead>
<tr>
<th>Demographics Characteristics</th>
<th>Gender, No. (%)</th>
<th>Last status, No. (%)</th>
<th>Age (y)</th>
<th>BMI (kg/m²)</th>
<th>Laboratory Values, Mean (± SD)</th>
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<td>Male</td>
<td>Female</td>
<td>63.2</td>
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<td>Hemoglobin (g/dL)</td>
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<td>WBC (10⁶/μL)</td>
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<td>FBS (mg/dL)</td>
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<td>Pre-dialysis weight (kg), Mean (± SD)</td>
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<td>Post-dialysis weight (kg), Mean (± SD)</td>
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<td>Kt/V_ms, Mean (± SD)</td>
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<td>Blood flow rate (mL/min), Mean (± SD)</td>
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<td>Type of membrane flux, No. (%)</td>
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<td>Low-Flux</td>
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<td>High-Flux</td>
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<td>Initial vascular access type, No. (%)</td>
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<td>AVF</td>
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<td>CVC</td>
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BMI, body mass index; ALKPH, alkaline phosphatase; AVF, arteriovenous fistula; BP, blood pressure; CVC, central venous catheter; FBS, fasting blood sugar; HCT, hematocrit; HDL, high-density lipoprotein; Kt/V_ms, adequacy of dialysis; LDL, low-density lipoprotein; MCH, mean cell hemoglobin concentration; MCHC, mean corpuscular hemoglobin concentration; MVC, mean cell volume; PTH, parathyroid hormone; SD, standard deviation; TIBC, total iron binding capacity; UF, Ultrafiltration volume; WBC, white blood cell.

Table 2. Assessment of Quality of Fitted Various Multiple Parametric AFTMSP Models Using Akaike’s Information Criterion

<table>
<thead>
<tr>
<th>AFT Parametric Model</th>
<th>Log-likelihood</th>
<th>AIC Criterion*</th>
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<tbody>
<tr>
<td>Exponential</td>
<td>-485.376</td>
<td>996.753</td>
</tr>
<tr>
<td>Rayleigh</td>
<td>-478.714</td>
<td>983.429</td>
</tr>
<tr>
<td>Weibull</td>
<td>-468.922</td>
<td>965.844</td>
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<tr>
<td>Loglogistic</td>
<td>-464.676</td>
<td>957.353</td>
</tr>
<tr>
<td>Generalized log-logistic</td>
<td>-462.292</td>
<td>954.583</td>
</tr>
<tr>
<td>Gamma</td>
<td>-463.685</td>
<td>959.370</td>
</tr>
<tr>
<td>Extended generalized gamma</td>
<td>-459.611</td>
<td>949.221</td>
</tr>
<tr>
<td>Generalized F</td>
<td>-461.854</td>
<td>955.707</td>
</tr>
</tbody>
</table>

* AIC = -2×log-likelihood+2k where k is the number of parameters in the AFT parametric model. A smaller AIC statistic suggests a better fit.

[95% CI]: 0.90 [0.82–0.99], P = 0.023) were statistically significant. In addition, the adequacy of dialysis (Kt/V_ms of ≤ 1.2, OR [95% CI]: 2.30 [0.93–5.56], P = 0.073) and serum potassium concentration (OR [95% CI]: 0.66 [0.43–1.02], P = 0.063) were marginally significant predictors among patients with long-term OS (Table 3). The OR for age indicated that for every 5-year increase in age, the likelihood of being long-term survivors decreased by almost 30%. However, the OR for serum sodium and potassium concentrations suggested that each unit rise in the sodium and potassium levels was associated with an almost 10% and 34% increase in the rates of long-term OS, respectively. Patients with Kt/V_ms of > 1.2 who used AVF for HD had a higher chance of long-term survival than those with Kt/V_ms of ≤ 1.2 who used CVC for HD.

The predicted survival probability curves for the long-term survivors are depicted in Figure 3. In the HD group with Kt/V_ms of > 1.2, the males with AVF as vascular access and high-flux HD membranes had the highest long-term OS, whereas the females with CVC as vascular access and low-flux HD membranes had the lowest long-term OS (Figure 3A). Similar results were obtained in patients with Kt/V_ms of ≤ 1.2 as well (Figure 3B).
Approximately 24% of the patients experienced death. As shown in Figure 1, the empirical value of the long-term OS proportion was 76% in about 14 years. The long-term OS rate can be easily calculated from the estimation of the parameters in the incidence (or long-term OS) part. The results demonstrated that the patients who underwent dialysis with AVF vascular access and an acceptable level of dialysis adequacy (Kt/V\text{urea} of > 1.2) had the highest long-term OS rates (66%). In addition, receiving inadequate dialysis (Kt/V\text{urea} of ≤ 1.2) with CVC vascular access for HD led to the lowest long-term OS rate (Table 4).

### Discussion

The management of hypertension among HD populations has often been neglected and the high cardiovascular mortality in these patients is often attributed to elevated blood pressure.\textsuperscript{36} To the best of our knowledge, this is the first study which used the parametric AFTMSP model for a large number of HTN-HD patients. It mainly highlighted the prognostic factors with a great impact on short- and long-term OS. Furthermore, the pivotal covariates that could change the risk of death were specified.

Recently, many studies have reported the effects of different prognostic determinants on the short-term OS of patients in the general HD population. These studies utilized the Log-rank test, the standard Cox PH regression, or parametric models which are suitable techniques for analyzing short-term OS.\textsuperscript{2,5,11,12, 16,18,37} Moreover, among the various parametric models, the log-normal and Weibull distributions had better performance than Cox regression.\textsuperscript{11,12} MSP models are more appropriate than Cox regression.
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in cases with long follow-up and a high censoring rate.\textsuperscript{29,34} In our dataset, the estimated NPKM plot leveled off around 0.55 and a long plateau (almost 8.5 years) was observed over time. Thus, the AFTMSP analysis was applied to eight different parametric distributions. The results showed that the EGG model was superior to the others based on the AIC criterion and was chosen as the best functional form of the OS time.

The results of the optimal AFTMSP regression indicated that sex, initial vascular access type, and membrane flux type had significant effects on the short-term OS, while age, initial vascular access type, adequacy of dialysis (measured by single-pool \( Kt/V \)), and serum concentrations of sodium and potassium affected the long-term OS of the HTN-HD patients.

This study showed a higher short-term OS time in men and also a reduction in the odds of long-term OS with increase in age. Age and sex have also been important determinants for the survival of HD patients in most previous studies which is in line with the results of the present research.\textsuperscript{12,38,39} In the general population, women have a survival advantage over men which is in contrast with the findings in HD populations.\textsuperscript{39} Some researchers demonstrated that sex played a significant role in determining OS in HD patients and that the mortality rate was lower in females than males. These are inconsistent with the current study.\textsuperscript{40,41} This disparity is possibly due to the different HD populations studied. In addition, the present study was specifically conducted on the HTN-HD population. Another study on HD patients showed no significant differences in the mortality rate based on sex and age group.\textsuperscript{2}

In the present study, 45% of the patients had CVC as initial vascular access and the empirical death rate in this group was about 31% which was much higher than that of the AVF group (18%). The OS at 1, 3, and 5 years was respectively 78.4%, 55.6%, and 49.0% in the CVC group and 93.0%, 76.8%, and 65.1% in the AVF group. These OS proportions were higher than those calculated by Shibiru et al.\textsuperscript{2} This difference can be partly explained by the long interval between the two studies (8 years), different sample sizes and study designs, the patients’ comorbid illness, and the great improvement in health services during this period. According to the results of the MSP regression, using AVF as the initial access for HD therapy was beneficial for both the short- and long-term survivor groups. A study conducted on the HD population of Ethiopia demonstrated that there was a significant relationship between the use of CVC and the reduction in short- and long-term OS rates.\textsuperscript{2} However, several studies have reported a considerable variation in the mortality rate based on the type of vascular access (AVF or CVC). The highest mortality rate was observed for the CVC type.\textsuperscript{1,42,43}

About 65% of the patients were treated with a high-flux dialyzer. The empirical death rates in the high- and low-flux groups were 20.3% and 30.4%, respectively, indicating a 50% relative increase in the hazard of death. Similarly, according to other studies, a reduction in death rate (24%-38%) was observed in HD patients with a high-flux membrane compared to those with a low-flux membrane.\textsuperscript{44,45} The AFTMSP analysis showed that patients with a high-flux HD had a longer short-term OS time than those with a low-flux HD. There is some explanation for the shorter OS time of patients with a low-flux dialyzer membrane compared to those with a high-flux one. Patients on maintenance HD start to develop complications from the accumulation of \( \beta_2 \)-microglobulin after several years of dialysis treatment.\textsuperscript{46} HD using high-flux membranes can more effectively clear \( \beta_2 \)-microglobulin than regular dialysis using low-flux membranes.\textsuperscript{47,48} In a meta-analysis by Zhao et al, the superior effectiveness of the high-flux HD on OS has also been confirmed.\textsuperscript{49} Furthermore, in 2014, the results of a systematic review showed that dialysis using a high-flux membrane did not reduce all-cause mortality rate. However, it diminished cardiovascular mortality rate compared to dialysis using a low-flux membrane.\textsuperscript{50}

Figure 3. Predicted Survival Probabilities for Long-Term Survivors Based on the Extended Generalized Gamma AFTMSP Model [(A): \( Kt/V_{\text{urea}}>1.2 \) and (B): \( Kt/V_{\text{urea}} \leq 1.2 \)].
The mixture analysis demonstrated that the patients who received a higher dose of dialysis had a long-term OS advantage over those who received a lower dose of dialysis. In addition, \(\text{Kt/V}_{\text{urea}} > 1.2\) increased the long-term OS rate by 60.0% and 43.2% in the CVC and AVF groups, respectively, compared to \(\text{Kt/V}_{\text{urea}} \leq 1.2\). Previous papers have indirectly confirmed the positive effect of a high-quality dialysis on the OS and long-term survival rate of patients undergoing maintenance HD.

The subgroup analysis based on the adequacy of dialysis (Figure 3) showed that the initial vascular access type and membrane flux were the most important prognostic determinants of long-term OS. Regardless of the patient’s sex and dialysis adequacy groups, the results of the current study suggested that hypertensive patients with AVF and a high-flux membrane had the highest long-term OS rate compared to those who underwent dialysis with CVC and a low-flux membrane. Furthermore, the patients with inadequate dialysis (\(\text{Kt/V}_{\text{urea}} \leq 1.2\)) who had used AVF as the initial vascular access had a 58.4% higher long-term OS rate than those who had used CVC.

Serum potassium concentration was able to prolong the long-term OS rate since it decreased death hazard by 34% with every one-unit increase in its level up to 5.5 mEq/L (the threshold of hyperkalemia). Previous publications showed that the serum potassium level of 4.6-5.3 mEq/L was associated with the highest OS rate, whereas the serum potassium level of \(< 4.0\) or \(> 5.7\) mEq/L increased the mortality rate. A review of the literature demonstrated that the longer the interdialytic interval, the greater the odds of hyperkalemia. This shows that hyperkalemia due to a long interdialytic interval (>48 hours) is associated with the death of HTN-HD patients.

Besides, serum sodium concentration plays an essential role in long-term OS. Each 1-mEq/L increment in serum sodium (considered as a continuous covariate) can decrease the death rate by 10%. Previous investigations confirmed the hypothesis that hyponatremia (serum sodium concentration less than 135 mEq/L) may be itself a causal determinant of HD-related death. The empirical mortality rate of the patients in the hyponatremia group was 30% which was much higher than that of the non-hyponatremia group (23%). The reason why a low serum sodium level may affect long-term OS is not exactly clear. Moreover, the importance of hyponatremia as an independent risk factor for HD-related death can be explained from different perspectives. Shorter dialysis sessions enhance the risk of failure to obtain enough sodium concentration and may have a negative effect on the outcome.

The duration of follow-up was more than 13 years (4883 days) which was the strength of the split-population analysis in the current study. The other strong points of the present study were the considerable number of individuals who enrolled in this research and using demographic and laboratory features as well as HD session-related indices. A major weakness of this study was that the cause of death was not specified in the database. Besides, it should be noted that since hypertensive patients undergoing HD receive appropriate medical care, the results may be confounded. Due to the large number of features, it was not possible to fit the AFTMSP model with all of them. Therefore, variable selection methods such as LASSO and elastic-net should be utilized to select the best subset of prognostic factors.

In conclusion, the split-population analysis is a powerful statistical technique for assessing the determinants of OS in both short- and long-term survivors. The findings of the current study demonstrated that the female sex, aging, and dialysis with a low-flux HD membrane and CVC as vascular access were associated with a poorer prognosis in HTN-HD patients. Furthermore, high levels of sodium and potassium concentrations, \(\text{Kt/V}_{\text{urea}} > 1.2\), and AVF vascular access increased the probability of long-term OS. These findings can be considered by health policy decision-makers in creating a new guideline to improve the long-term OS of HTN-HD patients. Nevertheless, further investigations are required to extend the existing knowledge beyond the survivors of HD and to develop models more comprehensively so that they can illustrate how the determinants of survival may be different for short- and long-term survivors.

Authors’ Contribution
VE: get the idea, designed, data acquisition, data analysis, and supervised the study. VE and SS: wrote the original manuscript version. VE, MS, MHK, PJ, SEJ and SS had contributions in the interpretation of data and manuscript editing. All authors have read and confirmed the final draft.

Conflict of Interest Disclosures
The authors declare no conflicts of interest.

Ethical Statement
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Supplementary Materials

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